

Information Technology Professional Service Agreement

For Administration Purpose Only

Account No:

Solicitation No: Quoted

Contract No:

BETWEEN	AND
British Columbia Energy Regulator also referred to as "Regulator"	also referred to as the "Contractor"
(the "Regulator", "we", "us", or "our" as applicable) at the following address:	(the "Contractor", "you", or "your" as applicable) at the following address:
2950 Jutland Road Victoria, BC V8T 5K2	
Phone Number: 250.419.4400 Email: procurement@bc-er.ca	Phone Number: Email:

THE BC ENERGY REGULATOR AND THE CONTRACTOR AGREE TO THE TERMS CONTAINED WITHIN THIS DOCUMENT AND IN THE SCHEDULES OUTLINED BELOW.

SCHEDULE A – list of Services: **Development** Services (See attached)

Term: Start Date:

End Date:

SCHEDULE B - FEES AND EXPENSES:	
Fees: \$	Expenses: \$
Billing Date(s): Upon Invoice	Maximum Amount: \$

SCHEDULE C - APPROVED SUBCONTRACTOR(S):

N/A

SCHEDULE D - INSURANCE:

N/A

SCHEDULE E – PRIVACY PROTECTION FOR CLOUD SERVICES:

(See Attached)

SCHEDULE F – ADDITIONAL TERMS:

N/A

SCHEDULE G – SECURITY:

(See Attached)

SIGNED AND DELIVERED on the ____ day of _____, 2024 on behalf of the BC Energy Regulator by its duly authorized representative:	SIGNED AND DELIVERED on the ____ day of _____, 2024 by or on behalf of the Contractor (or by its authorized signatory or signatories if the Contractor is a corporation).
Signature	Signature
Print Name	Print Name

READ TERMS ON THE FOLLOWING PAGES

TERMS OF INFORMATION TECHNOLOGY PROFESSIONAL SERVICE AGREEMENT

1 DEFINITIONS

General

- 1.1 In this Agreement, unless the context otherwise requires:
- (a) "Business Day" means a day, other than a Saturday or Sunday, on which Provincial government offices are open for normal business in British Columbia;
 - (b) "Incorporated Material" means any material in existence prior to the start of the Term or developed independently of this Agreement, and that is incorporated or embedded in the Produced Material by the Contractor or a Subcontractor;
 - (c) "Material" means the Produced Material and the Received Material;
 - (d) "Produced Material" means records, software and other material, whether complete or not, that, as a result of this Agreement, are produced or provided by the Contractor or a Subcontractor and includes the Incorporated Material;
 - (e) "Received Material" means records, software and other material, whether complete or not, that, as a result of this Agreement, are received by the Contractor or a Subcontractor from the Regulator or any other person;
 - (f) "Services" means the services described in Part 2 of Schedule A;
 - (g) "Subcontractor" means a person described in paragraph (a) or (b) of section 13.4; and
 - (h) "Term" means the term of the Agreement described in Part 1 of Schedule A subject to that term ending earlier in accordance with this Agreement.

Meaning of "record"

- 1.2 The definition of "record" in the *Interpretation Act* is incorporated into this Agreement and "records" will bear a corresponding meaning.

2 SERVICES

Provision of services

- 2.1 The Contractor must provide the Services in accordance with this Agreement.

Term

- 2.2 Regardless of the date of execution or delivery of this Agreement, the Contractor must provide the Services during the Term.

Supply of various items

- 2.3 Unless the parties otherwise agree in writing, the Contractor must supply and pay for all labour, materials, equipment, tools, facilities, approvals and licenses necessary or advisable to perform the Contractor's obligations under this Agreement, including the license under section 6.4.

Standard of care

- 2.4 Unless otherwise specified in this Agreement, the Contractor must perform the Services to a standard of care, skill, and diligence maintained by persons providing, on a commercial basis, services similar to the Services.

Standards in relation to persons performing Services

- 2.5 The Contractor must ensure that all persons employed or retained to perform the Services are qualified and competent to perform them and are properly trained, instructed and supervised.

Instructions by Regulator

- 2.6 The Regulator may from time to time give the Contractor reasonable instructions (in writing or otherwise) as to the performance of the Services. The Contractor must comply with those instructions, but, unless otherwise specified in this Agreement, the Contractor may determine the manner in which the instructions are carried out.

Confirmation of non-written instructions

- 2.7 If the Regulator provides an instruction under section 2.6 other than in writing, the Contractor may request that the instruction be confirmed by the Regulator in writing, which request the Regulator must comply with as soon as it is reasonably practicable to do so.

Effectiveness of non-written instructions

- 2.8 Requesting written confirmation of an instruction under section 2.7 does not relieve the Contractor from complying with the instruction at the time the instruction was given.

Applicable laws

- 2.9 In the performance of the Contractor's obligations under this Agreement, the Contractor must comply with all applicable laws.

3 PAYMENT

Fees and expenses

- 3.1 If the Contractor complies with this Agreement, then the Regulator must pay to the Contractor at the times and on the conditions set out in Schedule B:
- (a) the fees described in that Schedule;
 - (b) the expenses, if any, described in that Schedule if they are supported, where applicable, by proper receipts and, in the Regulator's opinion, are necessarily incurred by the Contractor in providing the Services; and
 - (c) any applicable taxes payable by the Regulator under law or agreement with the relevant taxation authorities on the fees and expenses described in paragraphs (a) and (b).

The Regulator is not obliged to pay to the Contractor more than the "Maximum Amount" specified in Schedule B on account of fees and expenses.

Statements of accounts

- 3.2 In order to obtain payment of any fees and expenses under this Agreement, the Contractor must submit to the Regulator a written statement of account in a form satisfactory to the Regulator upon completion of the Services or at other times described in Schedule B.

Withholding of amounts

- 3.3 Without limiting section 9.1, the Regulator may withhold from any payment due to the Contractor an amount sufficient to indemnify in whole or in part the Regulator and its employees and agents against any liens or other third-party claims that have arisen or could arise in connection with the provision of the Services. An amount withheld under this section must be promptly paid by the Regulator to the Contractor upon the basis for withholding the amount having been fully resolved to the satisfaction of the Regulator.

Appropriation

- 3.4 The Regulator's obligation to pay money to the Contractor is subject to the *Financial Administration Act*, which makes that obligation subject to an appropriation being available in the fiscal year of the Regulator during which payment becomes due.

Currency

- 3.5 Unless otherwise specified in this Agreement, all references to money are to Canadian dollars.

Non-resident income tax

- 3.6 If the Contractor is not a resident in Canada, the Contractor acknowledges that the Regulator may be required by law to withhold income tax from the fees described in Schedule B and then to remit that tax to the Receiver General of Canada on the Contractor's behalf.

Prohibition against committing money

- 3.7 Without limiting section 13.10(a), the Contractor must not in relation to performing the Contractor's obligations under this Agreement commit or purport to commit the Regulator to pay any money except as may be expressly provided for in this Agreement.

Refunds of taxes

- 3.8 The Contractor must:
- (a) apply for, and use reasonable efforts to obtain, any available refund, credit, rebate or remission of federal, provincial or other tax or duty imposed on the Contractor as a result of this Agreement that the Regulator has paid or reimbursed to the Contractor or agreed to pay or reimburse to the Contractor under this Agreement; and
 - (b) immediately on receiving, or being credited with, any amount applied for under paragraph (a), remit that amount to the Regulator.

4 REPRESENTATIONS AND WARRANTIES

- 4.1 As at the date this Agreement is executed and delivered by, or on behalf of, the parties, the Contractor represents and warrants to the Regulator as follows:

- (a) except to the extent the Contractor has previously disclosed otherwise in writing to the Regulator,
 - (i) all information, statements, documents and reports furnished or submitted by the Contractor to the Regulator in connection with this Agreement (including as part of any competitive process resulting in this Agreement being entered into) are in all material respects true and correct,
 - (ii) the Contractor has sufficient trained staff, facilities, materials, appropriate equipment and approved subcontractual or other agreements in place and available to enable the Contractor to fully perform the Services and to grant any licenses under this Agreement, and
 - (iii) the Contractor holds all permits, licenses, approvals and statutory authorities issued by any government or government agency that are necessary for the performance of the Contractor's obligations under this Agreement; and

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

TERMS OF INFORMATION TECHNOLOGY PROFESSIONAL SERVICE AGREEMENT

- (b) if the Contractor is not an individual,
- (i) the Contractor has the power and capacity to enter into this Agreement and to observe, perform and comply with the terms of this Agreement and all necessary corporate or other proceedings have been taken and done to authorize the execution and delivery of this Agreement by, or on behalf of, the Contractor, and
- (ii) this Agreement has been legally and properly executed by, or on behalf of, the Contractor and is legally binding upon and enforceable against the Contractor in accordance with its terms except as enforcement may be limited by bankruptcy, insolvency or other laws affecting the rights of creditors generally and except that equitable remedies may be granted only in the discretion of a court of competent jurisdiction.

5 PRIVACY, SECURITY AND CONFIDENTIALITY

Privacy

- 5.1 The Contractor must comply with the Privacy Protection Schedule attached as Schedule E.

Security

- 5.2 The Contractor must:
- (a) make reasonable security arrangements to protect the Material from unauthorized access, collection, use, disclosure, alteration or disposal; and
- (b) comply with the Security Schedule attached as Schedule G.

Confidentiality

- 5.3 The Contractor must treat as confidential all information in the Material and all other information accessed or obtained by the Contractor or a Subcontractor (whether verbally, electronically or otherwise) as a result of this Agreement, and not permit its disclosure or use without the Regulator's prior written consent except:
- (a) as required to perform the Contractor's obligations under this Agreement or to comply with applicable laws;
- (b) if it is information that is generally known to the public other than as result of a breach of this Agreement; or
- (c) if it is information in any Incorporated Material.

Public announcements

- 5.4 Any public announcement relating to this Agreement will be arranged by the Regulator and, if such consultation is reasonably practicable, after consultation with the Contractor.

Restrictions on promotion

- 5.5 The Contractor, must not, without the prior written approval of the Regulator, refer for promotional purposes to the Regulator being a customer of the Contractor or the Regulator having entered into this Agreement.

6 MATERIAL AND INTELLECTUAL PROPERTY

Access to Material

- 6.1 If the Contractor receives a request for access to any of the Material from a person other than the Regulator, and this Agreement does not require or authorize the Contractor to provide that access, the Contractor must promptly advise the person to make the request to the Regulator.

Ownership and delivery of Material

- 6.2 The Regulator exclusively owns all property rights in the Material which are not intellectual property rights. The Contractor must deliver any Material to the Regulator immediately upon the Regulator's request.

Matters respecting intellectual property

- 6.3 The Regulator exclusively owns all intellectual property rights, including copyright, in:
- (a) Received Material that the Contractor receives from the Regulator; and
- (b) Produced Material, other than any Incorporated Material.

Upon the Regulator's request, the Contractor must deliver to the Regulator documents satisfactory to the Regulator that irrevocably waive in the Regulator's favour any moral rights which the Contractor (or employees of the Contractor) or a Subcontractor (or employees of a Subcontractor) may have in the Produced Material and that confirm the vesting in the Regulator of the copyright in the Produced Material, other than any Incorporated Material.

Rights in relation to Incorporated Material

- 6.4 Upon any Incorporated Material being embedded or incorporated in the Produced Material and to the extent that it remains so embedded or incorporated, the Contractor grants to the Regulator:
- (a) a non-exclusive, perpetual, irrevocable, royalty-free, worldwide license to exercise, in respect of that Incorporated Material, the rights set out in the *Copyright Act* (Canada), including the right to use, reproduce, modify, publish and distribute that Incorporated Material; and
- (b) the right to sublicense or assign to third-parties any or all of the rights granted to the Regulator under section 6.4(a).

Right of Regulator to negotiate license of Produced Material

- 6.5 After the end of the Term, the Regulator in its sole discretion, may negotiate with the Contractor to provide the Contractor a license (which may be exclusive or non-exclusive) for the Contractor to use, reproduce, modify or distribute some or all of the Produced Material.

7 RECORDS AND REPORTS

Work reporting

- 7.1 Upon the Regulator's request, the Contractor must fully inform the Regulator of all work done by the Contractor or a Subcontractor in connection with providing the Services.

Time and expense records

- 7.2 If Schedule B provides for the Contractor to be paid fees at a daily or hourly rate or for the Contractor to be paid or reimbursed for expenses, the Contractor must maintain time records and books of account, invoices, receipts and vouchers of expenses in support of those payments, in form and content satisfactory to the Regulator. Unless otherwise specified in this Agreement, the Contractor must retain such documents for a period of not less than seven years after this Agreement ends.

8 AUDIT

- 8.1 In addition to any other rights of inspection the Regulator may have under statute or otherwise, the Regulator may at any reasonable time and on reasonable notice to the Contractor, enter on the Contractor's premises to inspect and, at the Regulator's discretion, copy any of the Material and the Contractor must permit, and provide reasonable assistance to, the exercise by the Regulator of the Regulator's rights under this section.

9 INDEMNITY AND INSURANCE

Indemnity

- 9.1 The Contractor must indemnify and save harmless the Regulator and the Regulator's employees and agents from any loss, claim (including any claim of infringement of third-party intellectual property rights), damage award, action, cause of action, cost or expense that the Regulator or any of the Regulator's employees or agents may sustain, incur, suffer or be put to at any time, either before or after this Agreement ends, (each a "Loss") to the extent the Loss is directly or indirectly caused or contributed to by
- (a) any act or omission by the Contractor or by any of the Contractor's agents, employees, officers, directors or Subcontractors in connection with this Agreement; or
- (b) any representation or warranty of the Contractor being or becoming untrue or incorrect.

Monetary limitations of indemnity

- 9.2 The indemnification by the Contractor pursuant to section 9.1 is limited to:
- (a) \$2,000,000 per Loss; and
- (b) \$4,000,000 in the aggregate for all Losses.

Exceptions to monetary limitations

- 9.3 The limitations set out in section 9.2 do not apply to a Loss resulting from or relating to any of the following:
- (a) bodily injury or damage to real property or tangible personal property;
- (b) third-party intellectual property rights; or
- (c) a breach of section 5.1, 5.2, 5.3 or 6.1 of this Agreement.

Regulator to notify Contractor of Loss

- 9.4 To claim indemnification for a Loss pursuant to section 9.1, the Regulator must notify the Contractor in writing of the Loss as soon as reasonably practicable after the Regulator becomes aware of the Loss provided that a failure by the Regulator to provide such notification will not invalidate the claim unless the Contractor is materially prejudiced by that failure.

Third-party intellectual property infringement claims

- 9.5 If the Loss is on the basis of a third-party claim that any element of the Material infringes the intellectual property rights of any person,
- (a) then, without limiting section 9.1, the Contractor must defend the Regulator against that claim at the Contractor's expense and the Contractor must pay all associated costs, damages and legal fees that a court or arbitrator finally awards or are included in a settlement agreed to by the Contractor; and
- (b) the Regulator must cooperate with the Contractor in the defence of the claim and, where appropriate in the discretion of the Regulator, will allow the Contractor to appoint and instruct counsel and otherwise control the defence and any related settlement negotiations.

Insurance

- 9.6 The Contractor must comply with the Insurance Schedule attached as Schedule D.

Workers compensation

- 9.7 Without limiting the generality of section 2.9, the Contractor must comply with, and must ensure that any Subcontractors comply with, all applicable occupational health and safety laws in relation to the performance of the Contractor's obligations under this Agreement, including the *Workers Compensation Act* in British Columbia or similar laws in other jurisdictions.

Personal optional protection

- 9.8 The Contractor must apply for and maintain personal optional protection insurance (consisting of income replacement and medical care coverage) during the Term at the Contractor's expense if:
- (a) the Contractor is an individual or a partnership of individuals and does not have the benefit of mandatory workers compensation coverage under the *Workers Compensation Act* or similar laws in other jurisdictions; and
- (b) such personal optional protection insurance is available for the Contractor from WorkSafeBC or other sources.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

TERMS OF INFORMATION TECHNOLOGY PROFESSIONAL SERVICE AGREEMENT

Evidence of coverage

- 9.9 Within 10 Business Days of being requested to do so by the Regulator, the Contractor must provide the Regulator with evidence of the Contractor's compliance with sections 9.7 and 9.8.

10 FORCE MAJEURE

Definitions relating to force majeure

- 10.1 In this section and sections 10.2 and 10.3:

- (a) "Event of Force Majeure" means one of the following events:
- (i) a natural disaster, fire, flood, storm, epidemic or power failure,
 - (ii) a war (declared and undeclared), insurrection or act of terrorism or piracy,
 - (iii) a strike (including illegal work stoppage or slowdown) or lockout, or
 - (iv) a freight embargo if the event prevents a party from performing the party's obligations in accordance with this Agreement and is beyond the reasonable control of that party; and
- (b) "Affected Party" means a party prevented from performing the party's obligations in accordance with this Agreement by an Event of Force Majeure.

Consequence of Event of Force Majeure

- 10.2 An Affected Party is not liable to the other party for any failure or delay in the performance of the Affected Party's obligations under this Agreement resulting from an Event of Force Majeure and any time periods for the performance of such obligations are automatically extended for the duration of the Event of Force Majeure provided that the Affected Party complies with the requirements of section 10.3.

Duties of Affected Party

- 10.3 An Affected Party must promptly notify the other party in writing upon the occurrence of the Event of Force Majeure and make all reasonable efforts to prevent, control or limit the effect of the Event of Force Majeure so as to resume compliance with the Affected Party's obligations under this Agreement as soon as possible.

11 DEFAULT AND TERMINATION

Definitions relating to default and termination

- 11.1 In this section and sections 11.2 to 11.4:

- (a) "Event of Default" means any of the following:
- (i) an Insolvency Event,
 - (ii) the Contractor fails to perform any of the Contractor's obligations under this Agreement, or
 - (iii) any representation or warranty made by the Contractor in this Agreement is untrue or incorrect; and
- (b) "Insolvency Event" means any of the following:
- (i) an order is made, a resolution is passed or a petition is filed, for the Contractor's liquidation or winding up,
 - (ii) the Contractor commits an act of bankruptcy, makes an assignment for the benefit of the Contractor's creditors or otherwise acknowledges the Contractor's insolvency,
 - (iii) a bankruptcy petition is filed or presented against the Contractor or a proposal under the *Bankruptcy and Insolvency Act* (Canada) is made by the Contractor,
 - (iv) a compromise or arrangement is proposed in respect of the Contractor under the *Companies' Creditors Arrangement Act* (Canada),
 - (v) a receiver or receiver-manager is appointed for any of the Contractor's property, or
 - (vi) the Contractor ceases, in the Regulator's reasonable opinion, to carry on business as a going concern.

Regulator's options on default

- 11.2 On the happening of an Event of Default, or at any time thereafter, the Regulator may, at its option, elect to do any one or more of the following:
- (a) by written notice to the Contractor, require that the Event of Default be remedied within a time period specified in the notice;
 - (b) pursue any remedy or take any other action available to it at law or in equity; or
 - (c) by written notice to the Contractor, terminate this Agreement with immediate effect or on a future date specified in the notice, subject to the expiration of any time period specified under section 11.2(a).

Delay not a waiver

- 11.3 No failure or delay on the part of the Regulator to exercise its rights in relation to an Event of Default will constitute a waiver by the Regulator of such rights.

Regulator's right to terminate other than for default

- 11.4 In addition to the Regulator's right to terminate this Agreement under section 11.2(c) on the happening of an Event of Default, the Regulator may terminate this Agreement for any reason by giving at least 10 days' written notice of termination to the Contractor.

Payment consequences of termination

- 11.5 Unless Schedule B otherwise provides, if the Regulator terminates this Agreement under section 11.4:
- (a) the Regulator must, within 30 days of such termination, pay to the Contractor any unpaid portion of the fees and expenses described in Schedule B which corresponds with the portion of the Services that was completed to the Regulator's satisfaction before termination of this Agreement; and
 - (b) the Contractor must, within 30 days of such termination, repay to the Regulator any paid portion of the fees and expenses described in Schedule B which corresponds with the portion of the Services that the Regulator has notified the Contractor in writing was not completed to the Regulator's satisfaction before termination of this Agreement.

Discharge of liability

- 11.6 The payment by the Regulator of the amount described in section 11.5(a) discharges the Regulator from all liability to make payments to the Contractor under this Agreement.

Notice in relation to Events of Default

- 11.7 If the Contractor becomes aware that an Event of Default has occurred or anticipates that an Event of Default is likely to occur, the Contractor must promptly notify the Regulator of the particulars of the Event of Default or anticipated Event of Default. A notice under this section as to the occurrence of an Event of Default must also specify the steps the Contractor proposes to take to address, or prevent recurrence of, the Event of Default. A notice under this section as to an anticipated Event of Default must specify the steps the Contractor proposes to take to prevent the occurrence of the anticipated Event of Default.

12 DISPUTE RESOLUTION

Dispute resolution process

- 12.1 In the event of any dispute between the parties arising out of or in connection with this Agreement, the following dispute resolution process will apply unless the parties otherwise agree in writing:
- (a) the parties must initially attempt to resolve the dispute through collaborative negotiation;
 - (b) if the dispute is not resolved through collaborative negotiation within 15 Business Days of the dispute arising, the parties must then attempt to resolve the dispute through mediation under the rules of the Mediate BC Society; and
 - (c) if the dispute is not resolved through mediation within 30 Business Days of the commencement of mediation, the dispute must be referred to and finally resolved by arbitration under the *Arbitration Act*.

Location of arbitration or mediation

- 12.2 Unless the parties otherwise agree in writing, an arbitration or mediation under section 12.1 will be held in Victoria, British Columbia.

Costs of arbitration or mediation

- 12.3 Unless the parties otherwise agree in writing or, in the case of an arbitration, the arbitrator otherwise orders, the parties must share equally the costs of a arbitration or mediation under section 12.1 other than those costs relating to the production of expert evidence or representation by counsel.

13 MISCELLANEOUS

Delivery of notices

- 13.1 Any notice contemplated by this Agreement, to be effective, must be in writing and delivered as follows:
- (a) by email to the addressee's email specified on the first page of this Agreement, in which case it will be deemed to be received on the day of transmittal unless transmitted after the normal business hours of the addressee or on a day that is not a Business Day, in which cases it will be deemed to be received on the next following Business Day;
 - (b) by hand to the addressee's address specified on the first page of this Agreement, in which case it will be deemed to be received on the day of its delivery; or
 - (c) by prepaid post to the addressee's address specified on the first page of this Agreement, in which case if mailed during any period when normal postal services prevail, it will be deemed to be received on the fifth Business Day after its mailing.

Change of address or email

- 13.2 Either party may from time to time give notice to the other party of a substitute address or email, which from the date such notice is given will supersede for purposes of section 13.1 any previous address or email specified for the party giving the notice.

Assignment

- 13.3 The Contractor must not assign any of the Contractor's rights or obligations under this Agreement without the Regulator's prior written consent. Upon providing written notice to the Contractor, the Regulator may assign to any person any of the Regulator's rights under this Agreement and may assign to any "government corporation", as defined in the *Financial Administration Act*, any of the Regulator's obligations under this Agreement.

TERMS OF INFORMATION TECHNOLOGY PROFESSIONAL SERVICE AGREEMENT

Subcontracting

- 13.4. The Contractor must not subcontract any of the Contractor's obligations under this Agreement to any person without the Regulator's prior written consent, excepting persons listed in the attached Schedule C. No subcontract, whether consented to or not, relieves the Contractor from any obligations under this Agreement. The Contractor must ensure that:
- (a) any person retained by the Contractor to perform obligations under this Agreement; and
 - (b) any person retained by a person described in paragraph (a) to perform those obligations fully complies with this Agreement in performing the subcontracted obligations.

Waiver

- 13.5 A waiver of any term or breach of this Agreement is effective only if it is in writing and signed by, or on behalf of, the waiving party and is not a waiver of any other term or breach.

Modifications

- 13.6 No modification of this Agreement is effective unless it is in writing and signed by, or on behalf of, the parties.

Entire agreement

- 13.7 This Agreement (including any modification of it) constitutes the entire agreement between the parties as to performance of the Services.

Survival of certain provisions

- 13.8 Sections 2.9, 3.1 to 3.4, 3.7, 3.8, 5.1 to 5.5, 6.1 to 6.5, 7.1, 7.2, 8.1, 9.1 to 9.6, 9.9, 10.1 to 10.3, 11.2, 11.3, 11.5, 11.6, 12.1 to 12.3, 13.1, 13.2, 13.8, and 13.10, any accrued but unpaid payment obligations, and any other sections of this Agreement (including schedules) which, by their terms or nature, are intended to survive the completion of the Services or termination of this Agreement, will continue in force indefinitely subject to any applicable limitation period prescribed by law, even after this Agreement ends.

Schedules

- 13.9 The schedules to this Agreement (including any appendices or other documents attached to, or incorporated by reference into, those schedules) are part of this Agreement.

Independent contractor

- 13.10 In relation to the performance of the Contractor's obligations under this Agreement, the Contractor is an independent contractor and not:
- (a) an employee or partner of the Regulator; or
 - (b) an agent of the Regulator except as may be expressly provided for in this Agreement.
- The Contractor must not act or purport to act contrary to this section.

Personnel not to be employees of Regulator

- 13.11 The Contractor must not do anything that would result in personnel hired or used by the Contractor or a Subcontractor in relation to providing the Services being considered employees of the Regulator.

Key Personnel

- 13.12 If one or more individuals are specified as "Key Personnel" of the Contractor in Part 4 of Schedule A, the Contractor must cause those individuals to perform the Services on the Contractor's behalf, unless the Regulator otherwise approves in writing, which approval must not be unreasonably withheld.

Pertinent information

- 13.13 The Regulator must make available to the Contractor all information in the Regulator's possession which the Regulator considers pertinent to the performance of the Services.

Conflict of interest

- 13.14 The Contractor must not provide any services to any person in circumstances which, in the Regulator's reasonable opinion, could give rise to a conflict of interest between the Contractor's duties to that person and the Contractor's duties to the Regulator under this Agreement.

Time

- 13.15 Time is of the essence in this Agreement and, without limitation, will remain of the essence after any modification or extension of this Agreement, whether or not expressly restated in the document effecting the modification or extension.

Conflicts among provisions

- 13.16 Conflicts among provisions of this Agreement will be resolved as follows:
- (a) a provision in the body of this Agreement will prevail over any conflicting provision in, attached to or incorporated by reference into a schedule, unless that conflicting provision expressly states otherwise; and
 - (b) a provision in a schedule will prevail over any conflicting provision in a document attached to, or incorporated by reference into a schedule, unless the schedule expressly states otherwise.

Agreement not permit nor fetter

- 13.17 This Agreement does not operate as a permit, license, approval or other statutory authority which the Contractor may be required to obtain from the Regulator or any of its agencies in order to provide the Services. Nothing in this Agreement is to be construed as interfering with, or fettering in any manner, the exercise by the Regulator or its agencies of any statutory, prerogative, executive or legislative power or duty.

Remainder not affected by invalidity

- 13.18 If any provision of this Agreement or the application of it to any person or circumstance is invalid or unenforceable to any extent, the remainder of this Agreement and the application of such provision to any other person or circumstance will not be affected or impaired and will be valid and enforceable to the extent permitted by law.

Further assurances

- 13.19 Each party must perform the acts, execute and deliver the writings, and give the assurances as may be reasonably necessary to give full effect to this Agreement.

Additional terms

- 13.20 Any additional terms set out in the attached Schedule F apply to this Agreement.

Governing law

- 13.21 This Agreement is governed by, and is to be interpreted and construed in accordance with, the laws applicable in British Columbia.

14 INTERPRETATION

14.1 In this Agreement:

- (a) "includes" and "including" are not intended to be limiting;
- (b) unless the context otherwise requires, references to sections by number are to sections of this Agreement;
- (c) the Contractor and the Regulator are referred to as "the parties" and each of them as a "party";
- (d) "attached" means attached to this Agreement when used in relation to a schedule;
- (e) unless otherwise specified, a reference to a statute by name means the statute of British Columbia by that name, as amended or replaced from time to time;
- (f) the headings have been inserted for convenience of reference only and are not intended to describe, enlarge or restrict the scope or meaning of this Agreement or any provision of it;
- (g) "person" includes an individual, partnership, corporation or legal entity of any nature; and
- (h) unless the context otherwise requires, words expressed in the singular include the plural and *vice versa*.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement

Contract No:

The Contractor will provide the following services:

DESCRIPTION OF SERVICES

Outputs

Deliverables or the services purchased.

Outcomes

Expected results flowing from the contracted services

Reporting Requirements

Report formats, instructions, and frequency.

TERM

The term of this Agreement commences on _____ and ends on _____.

RELATED DOCUMENTATION

The Contractor must perform the Services in accordance with the obligations set out in this Schedule A including any Solicitation document excerpt, proposal excerpt or other documentation attached as an Appendix to, or specified as being incorporated by reference in, this Schedule.

Appendix 1 – Solicitation document excerpt (attached)

Appendix 2 – Proposal excerpt (attached)

KEY PERSONNEL

All notice to the Regulator will be sent to the Contract Manager:

XXX
@bcogc.ca
250-419-4400

The Key Personnel of the Contractor are as follows:

- (a)
- (b)
- (c)

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

SCHEDULE B Fees and Expenses

This Schedule forms part of the agreement.

Contract No:

1. Fees will be paid at an hourly/daily rate of: \$XX.00 per hour/day (8 hrs = 1 day), for the term during which the contractor is engaged in the fulfillment of their obligations under this Contract, including any extensions to the original term of the Contract, and in no event will the fees payable to the contractor in accordance with this paragraph exceed, in aggregate, \$XXXXX.00, (exclusive of any applicable taxes described in section 3.1(c) of this agreement).
2. The following expenses, the aggregate of which shall not exceed \$XXX.00, will be paid to the Contractor provided the same are supported, where applicable, by original receipts and are, in the opinion of the Commissioner or delegate, necessarily incurred by the Contractor in the fulfillment of its obligations under this agreement:
 - a. The most economical travel, accommodation and meal expenses for travel greater than 32 kilometers away from _____ when they are on travel status; and the Contractor's actual long distance telephone, fax, postage and other identifiable communication expenses

excluding goods and services tax ("GST") or other applicable tax paid or payable by the Contractor on expenses described above to the extent that the Contractor is entitled to claim credits (including GST input tax credits), rebates, refunds or remissions of the tax from the relevant taxation authorities.
3. The Contractor should submit to the Regulator, upon completion of the project, a written statement of account showing the calculation of all fees and expenses claimed for the period for which the statement is submitted, with hours and dates.
4. After receipt by the Regulator of any aforesaid written statement of account, the fees referred to in paragraph 1 of this schedule will be paid to the Contractor by electronic funds transfer, subject always to the respective maximum amount set forth in the following paragraph of this schedule.
5. The maximum amount payable under the terms of this Contract (the "Maximum Amount") is \$XXX.00, plus any applicable taxes which may be incurred. There is no guarantee that the Contract Maximum Amount will be reached or that the spending will be spread evenly throughout the Contract.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

Insurance:

1. The Contractor must, without limiting the Contractor's obligations or liabilities and at the Contractor's own expense, purchase and maintain throughout the Term the following insurances with insurers licensed in Canada in forms and amounts acceptable to the Regulator:
 - (a) Commercial General Liability in an amount not less than **\$2,000,000** inclusive per occurrence against bodily injury, personal injury and property damage and including liability assumed under this Agreement and this insurance must
 - (i) include the Regulator as an additional insured,
 - (ii) be endorsed to provide the Regulator with 30 days advance written notice of cancellation or material change, and
 - (iii) include a cross liability clause;
 - (b) Professional Errors and Omissions Liability insuring the Contractor's liability resulting from errors or omissions in the performance of the Services in an amount per occurrence, and in the aggregate, calculated as follows:
 - (i) not less than \$1,000,000, if the "Maximum Amount" set out in Schedule B is less than \$500,000; and
 - (ii) not less than \$2,000,000, if the "Maximum Amount" set out in Schedule B is \$500,000 or greater.
2. All insurance described in section 1 of this Schedule must:
 - (a) be primary; and
 - (b) not require the sharing of any loss by any insurer of the Regulator.
3. The Contractor must provide the Regulator with evidence of all required insurance as follows:
 - (a) within 10 Business Days of commencement of the Services, the Contractor must provide to the Regulator evidence of all required insurance in the form of a completed Certificate of Insurance;
 - (b) if any required insurance policy expires before the end of the Term, the Contractor must provide to the Regulator within 10 Business Days of the policy's expiration, evidence of a new or renewal policy meeting the requirements of the expired insurance in the form of a completed Regulator of British Columbia Certificate of Insurance; and
 - (c) despite paragraph (a) or (b) above, if requested by the Regulator at any time, the Contractor must provide to the Regulator certified copies of the required insurance policies.
4. The Contractor must obtain, maintain and pay for any additional insurance which the Contractor is required by law to carry, or which the Contractor considers necessary to cover risks not otherwise covered by insurance specified in this Schedule in the Contractor's sole discretion.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

Definitions

1. In this Schedule,
- (a) **"Act"** means the *Freedom of Information and Protection of Privacy Act* including any regulation made under it;
 - (b) **"Agreement"** means the agreement between the Regulator and the Contractor to which this Schedule is attached;
 - (c) **"Business Day"** means a day, other than a Saturday or Sunday, on which the provincial government offices are open for regular business in British Columbia;
 - (d) **"contact information"** means information to enable an individual at a place of business to be contacted and includes the name, position name or title, business telephone number, business address, business email or business fax number of the individual;
 - (e) **"Contractor"** means the person retained to perform the services under the Agreement;
 - (f) **"personal information"** means recorded information about an identifiable individual, other than contact information, collected, created or accessible by the Contractor as a result of the Agreement or any previous agreement between the Regulator and the Contractor dealing with the same subject matter as the Agreement;
 - (g) **"public body"** means "public body" as defined in the Act;
 - (h) **"service provider"** means a person retained under a contract to perform services for a public body;
 - (i) **"Third Party Hosting Provider"** means a third party that provides a platform or hosting service through which the Contractor delivers the services under the Agreement and to whom personal information is not accessible and as such, for the purposes of this Schedule, is not considered a subcontractor;
 - (j) **"third party request for disclosure"** means a subpoena, warrant, order, demand or request from an authority inside or outside of Canada for the unauthorized disclosure of personal information to which the Act applies; and
 - (k) **"unauthorized disclosure of personal information"** means disclosure of, production of or the provision of access to personal information to which the Act applies, if that disclosure, production or access is not authorized by the Act.

Purpose

2. The purpose of this Schedule is to:
- (a) enable the BC Energy Regulator to comply with the Regulator's statutory obligations under the Act with respect to personal information; and
 - (b) ensure that, as a service provider, the Contractor is aware of and complies with the Contractor's statutory obligations under the Act with respect to personal information.

Acknowledgements

3. The Contractor acknowledges and agrees that it will comply with the requirements and restrictions established by [Part 3](#) of the [Freedom of Information and Protection of Privacy Act](#) in respect of personal information unless the Agreement otherwise specifies

Collection of Personal Information

4. Unless the Agreement otherwise specifies or the Regulator otherwise directs in writing, the Contractor may only collect or create personal information that relates directly to and is necessary for the performance of the Contractor's obligations, or the exercise of the Contractor's rights, under the Agreement.
5. The Contractor must collect personal information directly from the individual the information is about unless:
- (a) the Regulator provides personal information to the Contractor;
 - (b) the Agreement otherwise specifies; or
 - (c) the Regulator otherwise directs in writing.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

6. Where the Contractor collects personal information directly from the individual the information is about, the Contractor must tell that individual:
- (a) the purpose for collecting it;
 - (b) the legal authority for collecting it; and
 - (c) the contact information of the individual designated by the Regulator to answer questions about the Contractor's collection of personal information.

Accuracy of Personal Information

7. Where personal information is collected by the Contractor directly from the individual the information is about, the Contractor must make every reasonable effort to ensure the accuracy and completeness of any such information to be used by the Contractor or the Regulator to make a decision that directly affects that individual.

Requests for Access to Personal Information

8. If the Contractor receives a request for access to information from a person other than the Regulator, the Contractor must promptly advise the person to make the request to the Regulator unless the Agreement expressly requires the Contractor to provide such access. If the Regulator has advised the Contractor of the name or title and contact information of an official of the Regulator to whom such requests are to be made, the Contractor must also promptly provide that official's name or title and contact information to the person making the request.

Correction of Personal Information

9. Where personal information is collected by the Contractor directly from the individual the information is about, the Contractor will comply with sections 12 to 15, inclusive, of this Schedule, as applicable.
10. Within 5 Business Days of receiving a written direction from the Regulator to correct or annotate any personal information, the Contractor must annotate or correct the information in accordance with the direction.
11. When issuing a written direction under section 12, the Regulator must advise the Contractor of the date the correction request was received by the Regulator in order that the Contractor may comply with section 14.
12. Within 5 Business Days of correcting or annotating any personal information under section 12, the Contractor must provide the corrected or annotated information to any party to whom, within one year prior to the date the correction request was received by the Regulator, the Contractor disclosed the information being corrected or annotated.
13. If the Contractor receives a request for correction of personal information from a person other than the Regulator, the Contractor must promptly advise the person to make the request to the Regulator and, if the Regulator has advised the Contractor of the name or title and contact information of an official of the Regulator to whom such requests are to be made, the Contractor must also promptly provide that official's name or title and contact information to the person making the request.

Protection of Personal Information

14. Without limiting any other provision of the Agreement, the Contractor must protect personal information by making reasonable security arrangements against such risks as unauthorized access, collection, use, disclosure or disposal, including without limitation by ensuring that the integrity of the personal information is preserved. Without limiting the general nature of the foregoing sentence, the Contractor will ensure that all personal information is securely segregated from any information under the control of the Contractor or third parties to prevent unintended mixing of personal information with other information or access to personal information by unauthorized persons and to enable personal information to be identified and separated from the information of the Contractor or third parties.

Storage of and Access to Personal Information

15. The Contractor must comply with the requirements under the Act concerning storage of personal information outside of Canada, including, if required by the Regulator, by supporting the Regulator with completion of such assessments as may be required by law.
16. The Contractor will not change the location where it stores personal information without receiving prior authorization from the Regulator in writing.
17. The Contractor will not authorize or assist a Third Party Hosting Provider to access any personal information without the prior written approval of the Regulator.
18. Without limiting any other provision of the Agreement, the Contractor will implement and maintain an access log documenting all access to personal information, including a list of all persons that access any personal information. The Contractor will provide a copy of the access log to the Regulator upon request.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

Retention of Personal Information

19. Unless the Agreement otherwise specifies, the Contractor must retain personal information until directed by the Regulator in writing to dispose of it or deliver it as specified in the direction.

Use of Personal Information

20. Unless the Regulator otherwise directs in writing, the Contractor may only use personal information if that use is for the performance of the Contractor's obligations, or the exercise of the Contractor's rights, under the Agreement. For clarity, unless the Agreement otherwise specifies or the Regulator otherwise directs in writing, the Contractor must not anonymize, aggregate or otherwise alter or modify personal information, including by converting personal information into non-personal information, or analyze personal information (whether by manual or automated means) for any purpose, including for the purpose of developing insights, conclusions or other information from personal information.

Metadata

21. Where the Contractor has or generates metadata as a result of services provided to the Regulator, where that metadata is personal information, the Contractor will:
- (a) not use it or disclose it to any other party except where the Agreement otherwise specifies; and
 - (b) remove or destroy individual identifiers, if practicable.

Disclosure of Personal Information

22. Unless the Regulator otherwise directs in writing, the Contractor may only disclose personal information to any person other than the Regulator if the disclosure is for the performance of the Contractor's obligations, or the exercise of the Contractor's rights, under the Agreement.
23. If in relation to personal information, the Contractor:
- (a) receives a third-party request for disclosure;
 - (b) receives a request to disclose, produce or provide access that the Contractor knows or has reason to suspect is for the purpose of responding to a third-party request for disclosure; or
 - (c) has reason to suspect that an unauthorized disclosure of personal information has occurred in response to a third-party request for disclosure,
- subject to section 26, the Contractor must immediately notify the Regulator.
24. If the Contractor receives a third-party request described in section 25(a) or (b), but is unable to notify the Regulator as required by section 25, the Contractor must instead:
- (a) use its best efforts to direct the party making the third-party request to the Regulator;
 - (b) provide the Regulator with reasonable assistance to contest the third-party request; and
 - (c) take reasonable steps to challenge the third-party request, including by presenting evidence with respect to:
 - (i) the control of personal information by the Regulator as a public body under the Act;
 - (ii) the application of the Act to the Contractor as a service provider to the Regulator;
 - (iii) the conflict between the Act and the third-party request; and
 - (iv) the potential for the Contractor to be liable for an offence under the Act as a result of complying with the third-party request.

Notice of Unauthorized Disclosure

25. In addition to any obligation the Contractor may have to provide the notification contemplated by section 30.5 of the Act, if the Contractor knows that there has been an unauthorized disclosure of personal information, the Contractor must immediately notify the Regulator.

Compliance with the Act and Directions

26. The Contractor must in relation to personal information comply with:
- (a) the requirements of the Act applicable to the Contractor as a service provider, including any regulation made under the Act and the terms of this Schedule; and
 - (b) any direction given by the Regulator under this Schedule.
27. The Contractor acknowledges that it is familiar with the requirements of the Act governing personal information that are applicable to it as a service provider.

SCHEDULE E Privacy Protection for Cloud Services

This Schedule forms part of the agreement.

Contract No:

28. The Contractor will provide the Regulator with such information as may be reasonably requested by the Regulator to assist the Regulator in confirming the Contractor's compliance with this Schedule.

Notice of Non-Compliance

29. If for any reason the Contractor does not comply, or anticipates that it will be unable to comply in any respect, with any provision in this Schedule, the Contractor must promptly notify the Regulator of the particulars of the non-compliance or anticipated non-compliance and what steps it proposes to take to address, or prevent recurrence of, the non-compliance or anticipated non-compliance.

Termination of Agreement

30. In addition to any other rights of termination which the Regulator may have under the Agreement or otherwise at law, the Regulator may, subject to any provisions in the Agreement establishing mandatory cure periods for defaults by the Contractor, terminate the Agreement by giving written notice of such termination to the Contractor, upon any failure of the Contractor to comply with this Schedule in a material respect.

Interpretation

31. In this Schedule, references to sections by number are to sections of this Schedule unless otherwise specified in this Schedule.
32. Any reference to "Contractor" in this Schedule includes any subcontractor or agent retained by the Contractor to perform obligations under the Agreement and the Contractor must ensure that any such subcontractors and agents comply with the requirements of the Act applicable to them.
33. The obligations of the Contractor in this Schedule will survive the termination of the Agreement.
34. If a provision of the Agreement (including any direction given by the Regulator under this Schedule) conflicts with a requirement of the Act, including any regulation made under the Act, the conflicting provision of the Agreement (or direction) will be inoperative to the extent of the conflict.
35. The Contractor must comply with the provisions of this Schedule despite any conflicting provision of the Agreement or the law of any jurisdiction outside Canada.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

SCHEDULE F Additional Terms

This Schedule forms part of the agreement.

Contract No:

This Schedule might contain additional terms such as very detailed specifications too large to put in Schedule A, but are to be adhered to in conjunction with the Deliverables of Schedule A.

Also, can be used to attach proposals which can detail services too large to list in Schedule A. Care should be taken, however, to ensure that the proposal you are scheduling does not contradict the Terms of Schedule A or B, and that its attachment is absolutely necessary.

Note that attaching additional terms does not preclude the contract manager from developing a proper Schedule A -- the purpose of which is to indicate the Deliverables upon which payment is based.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

If a provision of the main body of this Agreement conflicts with a provision of this Schedule, then unless expressly stated otherwise within the Agreement, the provision of this Schedule will prevail to the extent of such conflict.

1. Definitions in this Schedule,

- (a) "Cloud Services" means services made available to users on demand via the Internet that are characterised by resource pooling, rapid elasticity and measured services with broad network access. Cloud Services include Software as a Service, Platform as a Service and Infrastructure as a Service, as such terms are understood pursuant to definitions provided by the National Institute of Standards and Technology (NIST).
- (b) "Industry Best Practice" means best practices commonly recognized in the IT industry from time to time and applicable to the protection and security of sensitive information of a nature similar to Protected Information against unauthorised access, disclosure or use, or any unauthorized attempts to access, disclose or use such information.
- (c) "Protected Information" means any and all of:
 - i. "personal information" as defined in the Freedom of Information and Protection of Privacy Act, British Columbia;
 - ii. information and records of information the Contractor is required to treat as confidential under the Agreement; and
 - iii. records, the integrity or availability of which are to be preserved by the Contractor under this Agreement, which in the case of records not falling within (i) or (ii), are marked by the BCER as "Protected Information" or the BCER otherwise instructs the Contractor that the record is "Protected Information" under the Agreement.
- (d) "BCER Information" means information of the BCER, including without limitation any Protected Information, that is disclosed to the Contractor, accessed by the Contractor or collected by the Contractor in relation to the Services and includes any information derived therefrom.
- (e) "Services" means the services provided by the Contractor to the BCER under the Agreement and includes, if applicable, any Cloud Services.
- (f) "Systems" means any systems, subsystems, equipment, devices, infrastructure, networks, hardware and software used in connection with the Services, including for managing, operating or providing the Services.

2. Applicability

For greater clarity, unless otherwise specified in the Agreement, the terms and conditions of this Schedule apply to the provision of all Services by the Contractor, its subcontractors and their respective personnel. Any reference to Contractor herein will include all subcontractors, Contractor personnel and subcontractor personnel, as applicable.

3. Industry Best Practice

The Contractor must have in place and maintain security controls to protect Protected Information that conform to commonly accepted industry norms that a prudent operator providing similar services would have implemented. Without limitation, the Contractor will perform its obligations under this Schedule in a manner that best conforms to Industry Best Practice.

4. IT security standards and frameworks

The Contractor must follow an IT security standard or framework and have a mature implementation of the requirements in the standard or framework. Examples of an IT security standard or framework are:

- (a) CIS Controls
- (b) NIST Cybersecurity Framework
- (c) ISO 27000 Series

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No: _____

5. Access Control

With respect to the access, by any Contractor personnel, to any part of the Contractor's Systems that may contain BCER Information, the Contractor must:

- (a) implement access control policies and procedures that address onboarding, offboarding, transition between roles, regular access reviews, limitations and usage control of administrator privileges, and inactivity timeouts;
- (b) identify and segregate conflicting duties and areas of responsibility, such as separation of duties;
- (c) maintain a current and accurate inventory of computer accounts;
- (d) review the inventory of computer accounts on a regular basis to identify dormant, fictitious or unused accounts;
- (e) enforce principles of "least privilege" and "need to know";
- (f) review user access rights on a regular basis to identify excessive privileges;
- (g) enforce a limit of logon attempts and concurrent sessions.

6. Authentication

Where the Contractor manages user authentication controls for Contractor personnel, the Contractor must:

- (a) enforce minimum password complexity;
- (b) limit password reuse or use of known risky passwords;
- (c) require regular change of passwords at predetermined intervals, or require password changes upon detection of risky behaviour or possible breach; and
- (d) require multi-factor authentication for regular and privileged access.

7. Security Awareness

- (a) The Contractor must ensure that all persons employed or retained to perform the Services receive security awareness training, annually and supervision at a level and in substance that is appropriate to that person's position and the Contractor's obligations under this Schedule.
- (b) The Contractor must not permit any person the Contractor hires or uses to accessor obtain any Protected Information unless that person is contractually bound to the Contractor in writing to keep Protected Information confidential on terms no less protective than the terms applicable to the Contractor under the Agreement.

8. Security Audit Log Generation and Retention for Cloud Services

The Contractor must:

- (a) generate and retain security audit logs that are sufficiently detailed to determine who did what and when for a period of 90 days online;
- (b) provide real time access to logs;
- (c) provide the technical capability to forward the logs to the BCER (API or Syslog); and
- (d) correlate, monitor, and alert on logs.

9. Investigations Support and Security Investigations

The Contractor must:

- (a) retain investigation reports related to a security investigation for a period of 2 years after the investigation is completed or provide to the BCER for retention;
- (b) provide reasonable investigative support to the BCER;
- (c) maintain chain of custody for evidence;
- (d) support e-discovery; and
- (e) maintain legal holds to meet needs of investigations and judicial requests.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

10. Vulnerability Scan/Penetration Testing

The Contractor must conduct regular:

- (a) vulnerability scans;
- (b) web application scans; and
- (c) penetration tests.

Attestation letter(s) showing completion of testing may be required.

11. Technical Configuration

The Contractor must:

- (a) logically isolate and encrypt BCER Information;
- (b) ensure workstations and servers used in management and provisioning of the Services are secured with:
 - i. anti-malware protection;
 - ii. endpoint detection and response solution;
 - iii. software or hardware firewall;
 - iv. centralized access control; and
 - v. centralized security information and event management;

12. Patch Management and Vulnerability Avoidance

Patch management and vulnerability avoidance is applicable to both Contractor Systems and Cloud Services provided to the BCER.

The Contractor must:

- (a) remedy vulnerabilities in a timely manner according to criticality;
- (b) patch all Systems and software regularly according to industry best practices;
- (c) have an information security policy based on recognized industry standards;
- (d) apply system hardening methods in securing Systems; and
- (e) use secure coding practices when developing applications and application programming interfaces.

13. Business Continuity, Disaster Recovery, and Backup Plans

The Contractor must:

- (a) have a business continuity plan and a disaster recovery plan;
- (b) conduct backups of critical data following at minimum the “3-2-1 backup strategy”; and
- (c) review and test business continuity, disaster recovery, and backup plans and procedures regularly.

14. Incident Response and Management

The Contractor must:

- (a) have an incident management plan and an incident response plan; and
- (b) review and test both incident management and incident response plans annually.

15. Notifications of Breaches

The Contractor must notify the BCER within 24 hours of the Contractor’s identification of a breach or incident that has affected, or may affect, BCER Information.

16. Notifications of Changes

The Contractor must notify the BCER of any changes to the Contractor’s security policies, procedures or agreements that may materially lower the security of BCER Information.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

17. Asset Management and Disposal

The Contractor must

- (a) maintain an inventory of BCER Information assets;
- (b) upon completion of contract or subscription, and upon request of BCER, the Contractor will dispose of all BCER Information including data residing in backups and allow BCER to download all BCER Information.
- (b) use secure methods when disposing of BCER Information Assets, and
- (c) maintain records of BCER Information asset disposals.

18. Physical Security

The Contractor must:

- (a) develop, document, and disseminate a physical and environmental protection policy;
- (b) regularly review and update its current physical and environmental protection policy and procedures; and
- (c) review physical access logs at least once monthly.

19. Threat and Risk Assessments

The Contractor must:

- (a) conduct threat and risk assessments on any part of the Contractor's Systems that is new, or has been materially changed since the last threat and risk assessment was conducted; and
- (b) support the BCER in completing Security Threat and Risk Assessments.

20. Security Screening

The Contractor must:

- (a) screen all Contractor personnel prior to Contractor authorizing access to BCER or Contractor Systems;
- (b) conduct criminal record checks on all Contractor personnel who have access to any BCER or Contractor Systems;
- (c) make a reasonable determination of whether the individual constitutes an unreasonable security risk taking into consideration the duties of the individual, the type and sensitivity of information to which the individual may be exposed, and all applicable laws; and
- (d) require all Contractor personnel to proactively disclose criminal offences to the Contractor unless prohibited by applicable law.

21. Supply Chain

The Contractor must ensure that its suppliers and subcontractors involved in the provision of Services meet or exceed the standards set forth in this Schedule.

22. Encryption

The Contractor must:

- (a) implement and maintain modern encryption of BCER Information while at rest and in transit;
- (b) if required, offer the BCER the technical capability of cryptographic key management to allow the BCER to manage encryption keys in relation to BCER Information at rest and in transit;
- (c) not hold or have access to encryption keys if such encryption keys are managed by the BCER to encrypt BCER information at rest or in transit; and
- (d) not provide encryption keys used to secure BCER Information to a third party or the ability to break such encryption.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____

This Schedule forms part of the agreement.

Contract No:

23. Isolation Controls and Logical Isolation of Data

The Contractor must:

- (a) implement and maintain the logical isolation of BCER Information, even in the case of equipment or technology failure;
- (b) implement, where supported by available technology, the logical isolation of audit records related to BCER Information and activities, even in the case of equipment or technology failure; segregate tenancy traffic from management network traffic; and
- (c) not use Protected Information for test or development purposes without the written approval of the BCER.

24. Technical Network Controls

The Contractor must implement:

- (a) firewalls, web application firewalls, distributed denial of service, network threat detection and intrusion prevention systems to control traffic flow to and from the Contractor's Systems;
- (b) network segmentation of Contractor Systems containing critical information or infrastructure management;
- (c) data loss prevention or detection; and
- (d) secure remote access to the Contractor's Systems by Contractor personnel and contractors.

25. Cloud Services Authentication and Authorization

Where the Contractor is providing a Cloud Service, these provisions must be accommodated:

- (a) Single Sign-On integration with the BCER Microsoft Entra tenant;
- (b) "Break glass" account may be required for critical systems;
- (c) Role based access control where the BCER manages user role membership either within the Cloud Service or via security groups in the BCER Microsoft Entra tenant; and
- (d) Discreet user roles for BCER IT management and business roles as required.

26. Use of BCER Systems

Use of BCER Systems by the Contractor or its personnel (including subcontractors) must be restricted to activities necessary for provision of the Services. The BCER reserves the right to not make any particular BCER facility, system, network or device available to the Contractor unless the Contractor or its individual personnel (as applicable) agree to any additional terms and conditions acceptable to the BCER.

27. Security Contact

If not set out elsewhere in the Agreement, the Contractor must provide the contact information for the individual who will coordinate compliance by the Contractor on matters relating to this Schedule.

Contractor Initials: _____ BC Energy Regulator (authorized initials): _____